

Port Health and Public Protection Risk Tracker

Appendix C

Risk No.	Risk	Risk Owner	Existing Controls	Current Risk				Planned Action	Target Risk		
				Likelihood	Impact	Rating	Direction		Likelihood	Impact	Rating
PH & PP 1	The cark parking area at HARC is used by customers' vehicles as well as through traffic which includes HGVs and is an area where a forklift truck operates. There is a risk of injury or death of a pedestrian if vehicle movements in this constrained space are not controlled. This could result in prosecution, a fine, reputational damage for the City and impact on the operation and sustainability of the service.	Rob Quest HARC	Training and licensing of all fork lift users has been carried out. All staff wear personal protective equipment when on duty, access is restricted and the Vehicle & Grounds Attendant controls vehicle movements at peak times.	Unlikely	Major	A	↔	Continued monitoring of vehicle movements by Vehicle & Grounds Attendant.	Unlikely	Major	A

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PH & PP 2	Failure to meet Air Quality limit values in the City by the prescribed dates set by the EU which could result in a fine of unknown amount. Poor air quality is also a significant public health issue for the City of London as a small number of the population are more vulnerable to the effects of air pollution where exposure to pollution can exacerbate existing health conditions including cardiovascular and respiratory disease. This can lead to restricted activity, hospital admissions and even premature mortality.	Jon Averns Director of PH&PP	The current systems in place allow the City to demonstrate that it is taking sufficient effective action to help the government and the GLA to meet air quality Limit Values	Likely	Major	R	↔	<p>The City is Working with the GLA and other Local Authorities, organisations and partnerships to address pan-London issues which impact air quality in the City and implementing actions identified within the City of London Air Quality Strategy (recently reviewed and currently out for consultation) including:</p> <ul style="list-style-type: none"> •Encouraging City businesses to reduce emissions via CityAir •Minimising construction and demolition emissions through the City's Code of Practice •Tackling emissions from idling vehicle engines •Recognising and rewarding good practice •Increasing public awareness of air quality •Monitoring the impact of measures to reduce pollution 	Possible	Major	A

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PH & PP 3	The HARC has experienced significant delays to maintenance or repair of equipment and facilities due to be carried out under the Corporate repair and maintenance contract . This has resulted in operational difficulties, security risks and risk of closure of the facility leading to reputational damage and financial loss to the City.	Rob Quest HARC	City Surveyor's PFMs have been dealing directly with Mitie to address known problem areas. Local management are regularly in touch with PFMs to press for remedial action.	Possible	Major	A	↔	City Surveyor's PFMs have been dealing directly with Mitie to address known problem areas. Local management are regularly in touch with PFMs to press for remedial action.	Unlikely	Minor	G
PH & PP 4	A lack of robustness of IS systems at HARC leading to technical failure of these systems and hence the loss of computer network facilities and telephones for a period in excess of 24 hours. Records of animal movements are essential and whilst these can be maintained manually for a few hours, a period of I.S. loss greater than this in peak times significantly affects efficiency, customer service and income generation, as well as risking animal welfare and therefore has a reputational as well as a financial impact.	Rob Quest HARC	The current I.S. service agreement does not include 24hr cover. An ADSL telephone line has been installed as a back up, but this cannot be activated outside the I.S. department's working hours. Manual system for recording animals and movements in place.	Possible	Major	A		Increased provision of IS support required.	Rare	Minor	G

Ratings	Risk Status	Control Evaluation
R - Red	High risk, requiring constant monitoring and deployment of robust control measures	Existing controls are not satisfactory
A - Amber	Medium risk, requiring at least quarterly monitoring, further mitigation should be considered	Existing controls require improvement/Mitigating controls identified but not yet implemented fully
G - Green	Low risk, less frequent monitoring, consideration may be given to applying less stringent control measures for efficiency gains	Robust mitigating controls are in place with positive assurance as to their effectiveness